



A Presentation to the Federal PKI Technical Working Group

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Certification Path Processing with the JavaTM 2 Platforms

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Presentation Outline

- Background
- CertPath API and RI Overview
- CertPath API and RI Details
- Conclusions



Background

Java:

Programming Language

AND

Platforms





Java 2 Platforms

- Java 2 Platform, Micro Edition (J2METM)
- Java 2 Platform, Standard Edition (J2SETM)
- Java 2 Platform, Enterprise Edition (J2EETM)



Java Community ProcessSM

- Process used for all significant changes to the Java 2 Platforms
- Steps
 - Initiation
 - Community Draft
 - Public Draft
 - Maintenance
- Guided by multi-party Executive Committee





J2SE Certificate Support

Past and Present

- JDKTM 1.1 (1997)
 - Minimal certificate support, primarily for signed code
- JDK 1.2 (1998)
 - APIs for parsing X.509 certificates
 - Tools for managing certificates and private keys
- JDK 1.3 (2000)
 - Minor changes



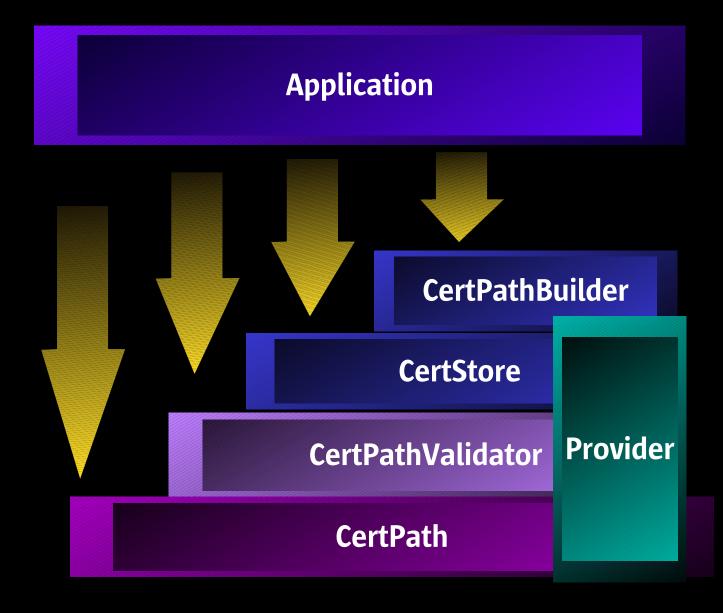
New CertPath APIs and RI

- Provide PKIX-compliant certification path validation and development
- Initially developed at Sun Labs
- API now in Java Community Process (JSR 55)
- API and RI will probably be included in JDK 1.4 (Merlin, 2001)
- RI = Reference Implementation





CertPath Architecture





Provider-based Architecture

- Applications use standard API
- Can have multiple implementations installed



CertPath API and RI Details



CertPath

- Ordered List of Certificate objects
- RI supports X.509 certificates
- CertificateFactory enhanced to support creating CertPaths
- RI can read/write PKCS#7 format





CertPathValidator

- result = cpv.validate(path, params);
- Relying Party (RP) supplies CertPath and parameters
- Validator attempts to validate path
- On success, returns result
- On failure, throws exception with details





PKIX Validation

Parameters include:

 Trust anchors, initial policies, as-of date, CertStores (for retrieving CRLs), constraints on target certificate (subject alt name, etc.), other miscellanous items

Results include:

Policies, policy qualifiers



CertPathBuilder

- result = cpb.build(params);
- RP supplies parameters
- Builder attempts to build validated path
- On success, returns result
- On failure, throws exception





PKIX Building

- Parameters include:
 - Validation parameters (trust anchors, etc.)
 - Maximum path length
- Results include:
 - Validated CertPath
 - Policies, policy qualifiers



Optimizations in RI

- Validate path as you build it
- Eliminate certs based on validation
- Observed:
 - Building reverse allows for more efficient certificate elimination than building forward
 - Name Constraints
 - Policy Processing
 - Signature Processing
 - Loops are not always bad (policy mapping)
 - Paper submitted to NDSS





CertStore

- certs = cs.getCertificates(selector);
- RP supplies selector
- Store returns selected certificates
- RI supports LDAP and cache



Extensibility

- Support for private extensions
- Support for custom validation checks
- Support for different revocation checking
- Support for different providers
- Support for various certificate and CRL repositories





More Extensibility

- Support for non-X.509 certificates
- Support for non-PKIX validation
- Support for changes to PKIX validation



Testing

- Tested with our own automated test suite
- Plan to test with BCA directory soon
- Will work on testing matrix to take RFC 2459 to Draft Standard



Benefits

- Solid certification path processing soon available to all JavaTM technology-based code
- Will eventually be used by other
 J2SE functions (JSSE & code signing)
- Supports many trust models (bridge, mesh, hierarchy, etc.)
- Checks revocation status
- Useful for 2459 interoperability testing



Lessons Learned

- Weighting useful in dense meshes, but not dependable
- Constraints (especially name constraints) help with this
- Advantages of reverse building in dense meshes
- Involve many experienced parties
 ASAP





To Be Done

- Interoperability Testing
- Complete JCP
- Ship with JDK 1.4
- Use for JSSE and code signing
- Integrate with Java[™] technologybased applications!
- Continue evangelizing PKIXcompliant validation and building
- Continue research into building





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